# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: William Michael RAIKE et al.

Serial No.: New

Filing Date: July 10, 2001

For: ENCRYPTED MEDIA KEY MANAGEMENT

# PRELIMINARY AMENDMENT

Assistant Commissioner of Patents Washington, D.C. 20231

Sir:

Prior to initial examination, please amend the aboveidentified application as follows:

## IN THE SPECIFICATION

Please amend the specification by inserting the following paragraph on line 2, immediately following the title:

--Transmitted herewith for filing is the patent application claiming priority from and the benefit of U.S. Provisional Application Serial No. 60/269,845, filing date February 21, 2001.--

#### IN THE CLAIMS

Please amend claims 3, 5, 6 and 7 as follows:

3. (amended) A method according to claim 1 including the steps of creating steering files corresponding to each media work and its corresponding key, said steering files containing information identifying the media work and the location of the media key, making available said steering files on said one or more retail servers, said steering files when processed on said client device

causing a request to be made to said second server for the key for the media work identified in the steering file, said second server downloading said encrypted media key to said client device, and said client device generating a request to the first server to supply the encrypted media work identified in the steering file.

- 5. (amended) A method according to claim 1 wherein said second server encrypts media keys for consumers using a public key encryption algorithm and when said client device generates a request to either said retail server or said second server for a media key it includes in the request the consumer's public key, said second server encrypting the relevant media key with the consumer's public key and upon receipt of said encrypted media key said client device decrypting the key using the consumer's private key.
- 6. (amended) A method as claimed in claim 1 wherein the client device stores the media key in volatile memory.
- 7. (amended) A method according to claim 1 wherein said retail server passes received client device requests to said second server and said second server upon verifying the allowability of fulfilling requests from said retail server downloading the encrypted media key to said retail server.

# REMARKS

The foregoing Preliminary Amendment is requested in order to delete the multiple dependent claims and avoid paying the multiple dependent claims fee.

Attached hereto is a marked-up version of the changes made to the specification and claims by the current amendment. The attached page is captioned "VERSION WITH MARKINGS TO SHOW CHANGES MADE."

Early action on the merits is respectfully requested.

Respectfully submitted,

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JCH/cmf

### VERSION WITH MARKINGS TO SHOW CHANGES MADE

### IN THE CLAIMS

- 3. (amended) A method according to <u>claim 1</u> either of claims 1 or 2 including the steps of creating steering files corresponding to each media work and its corresponding key, said steering files containing information identifying the media work and the location of the media key, making available said steering files on said one or more retail servers, said steering files when processed on said client device causing a request to be made to said second server for the key for the media work identified in the steering file, said second server downloading said encrypted media key to said client device, and said client device generating a request to the first server to supply the encrypted media work identified in the steering file.
- 5. (amended) A method according to <u>claim 1</u> either of claims 1 or 2 wherein said second server encrypts media keys for consumers using a public key encryption algorithm and when said client device generates a request to either said retail server or said second server for a media key it includes in the request the consumer's public key, said second server encrypting the relevant media key with the consumer's public key and upon receipt of said encrypted media key said client device decrypting the key using the consumer's private key.
- 6. (amended) A method as claimed in <u>claim 1</u> either of claims 1 or 2 wherein the client device stores the media key in volatile memory.

7. (amended) A method according to <u>claim 1</u> either of claims 1 or 2 wherein said retail server passes received client device requests to said second server and said second server upon verifying the allowability of fulfilling requests from said retail server downloading the encrypted media key to said retail server.